



<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2003B079</b>
<b>In Re Application of: Waddell, et al.</b>			
<b>U.S. Serial No. 10/633,001</b>	<b>Filing Date 08/01/2003</b>	<b>Examiner Not Yet Assigned</b>	<b>Group Art Unit Not Yet Assigned</b>

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

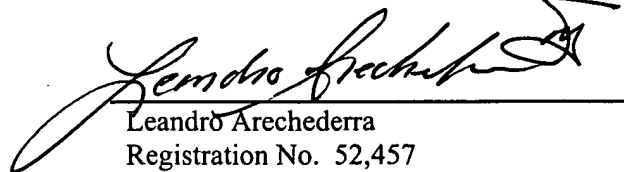
☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

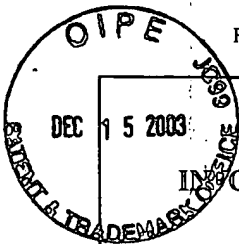
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

12/9/03

Date



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## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2003B079

SERIAL NO.

10/633,001

APPLICANT

Waddell, et al.

FILING DATE

Not Yet Assigned

GROUP

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,279,284	07/21/81	Spadone			
	AB	5,005,625	04/09/91	Klemmensen, et al.			
	AC	5,013,793	05/07/91	Wang, et al.			
	AD	5,162,409	11/10/92	Mroczkowski			
	AE	5,162,445	11/10/92	Powers, et al.			
	AF	5,178,702	01/12/93	Frerking, Jr., et al.			
	AG	5,234,987	08/10/93	Hubbard, et al.			
	AH	5,242,727	09/07/93	Briddell, et al.			
	AI	5,397,832	03/14/95	Ellul			
	AJ	5,631,316	05/20/97	Costemalle, et al.			
	AK	5,698,640	12/16/97	Duvdevani, et al.			

## FOREIGN PATENT DOCUMENTS

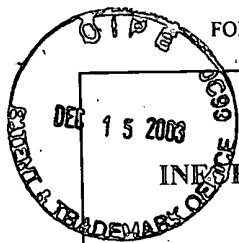
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	0 314 416	05/03/89	Europe				
	AM	0 376 558	07/04/90	Europe				
	AN	0 682 071	11/15/95	Europe				
	AO	1993179068A	07/20/93	Japan			X	

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AP	5,733,621	03/31/98	Cotsakis, et al.			
	AQ	5,755,899	05/26/98	Hecker, et al.			
	AR	5,964,969	10/12/99	Sandstrom, et al.			
	AS	09/691,764		Waddell, et al.			10/18/00
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AZ	1999005874A	01/12/99	Japan			X	
	BA	3028244B2	09/18/92	Japan			X	
	BC	92/16587	10/01/92	PCT				
	BD	94/01295	01/20/94	PCT				
	BE	02/48257	06/20/02	PCT				

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

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EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant